



# Introducing Broker Accounts

## FOCUS ON WHAT YOU DO BEST

From client order management and compliance support to risk management and performance analysis, our Introducing Broker accounts are designed to help your business run more efficiently.

Whether you're looking for a solution that allows you to focus almost exclusively on marketing and client services – or want to add clearing and best price execution to your current infrastructure,

Interactive Brokers has an account to fit your needs. Our low costs and automated technology can free you to focus on what you do best – serve your clients.

### WE OFFER THREE DIFFERENT ACCOUNT STRUCTURES

## Fully Disclosed Brokers

With a Fully Disclosed Introducing Broker account, you receive support across all areas of your business, freeing you to **put more time into what you do best** - manage your client accounts. Our dedicated team of specialists makes it easy to **get started with this complete, turn-key solution.**

Unparalleled  
value and  
ease of use!

- ▶ Multiple, convenient account registration methods available
- ▶ Clients fund their own accounts
- ▶ Clients can trade their own accounts or you can trade for your clients
- ▶ Trade your own proprietary account
- ▶ Custom fee schedule templates for easy billing
- ▶ Trade with our award-winning TWS platform, or connect to your own front-end via our APIs
- ▶ Flexible multi-level account structures support Advisor, STL and multiple hedge fund accounts
- ▶ White-branding across all stages of the client experience
- ▶ Automated white-branded, trade-related client emails such as Corporate Action notices
- ▶ IBKR manages all margin calculations, violation notifications and position liquidations

# Non-Disclosed Brokers

Non-Disclosed Introducing Broker accounts have access to the same capabilities and features as Fully Disclosed Introducing Brokers with the following differences:

- ▶ No Customer Agreement is signed between IBKR and your clients
- ▶ Client account types limited to Individual, Joint, Trust or Corporate
- ▶ Client accounts are opened through the Master Account's Account Management, or via the IBKR Mass Upload feature
- ▶ Interactive Brokers will collect all the necessary information to comply with US tax laws and regulations
- ▶ Cash and transferred positions are deposited to the Master account through one of the available deposit/transfer methods and transferred internally to your client accounts
- ▶ You are responsible for all contact and communication with your clients; certain automated white-branded emails can be sent on demand to your clients



## Omnibus Brokers

**Complement your existing infrastructure** with IBKR's clearing and execution services. Omnibus Brokers provide their clients with customer service, marketing, a registration process, cashiering functions, trade entry, and individual client account record keeping.

- ▶ All trades combined into long/short consolidated accounts
- ▶ All funds deposited into Master account for trading
- ▶ Trade securities products only
- ▶ Broker enters client trades into consolidate accounts
- ▶ No client access to trading or Account Management
- ▶ Non-US brokers must be registered as a QI with the IRS

Our transparent, low commissions and financing rates, and best price executions minimize your costs to help you maximize your returns!<sup>1</sup>

1. Lower investment costs will increase your overall return on investment, but lower costs do not guarantee that your investment will be profitable. Interactive Brokers LLC is a member of NYSE, FINRA, SIPC.

# Compare and Decide

Compare the features and benefits of our Introducing Broker accounts and find the one that's right for your business.

OPEN AN ACCOUNT TODAY 

Benefits	Fully Disclosed	Non-Disclosed	Omnibus
Client Registration	<input checked="" type="radio"/>	Opened via master account or upload	<input type="radio"/>
Cashiering	<input checked="" type="radio"/>	Deposit into master account	<input type="radio"/>
Client Account Management	<input checked="" type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>
Compliance	<input checked="" type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>
Client Trading	<input checked="" type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>
Execution	<input checked="" type="radio"/>	<input checked="" type="radio"/>	<input checked="" type="radio"/>
Clearing	<input checked="" type="radio"/>	<input checked="" type="radio"/>	<input checked="" type="radio"/>
Client Reporting	<input checked="" type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>
Billing	<input checked="" type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>
Client Services	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>

Interactive Brokers LLC is a member of NYSE, FINRA, SIPC.

Any trading symbols displayed are for illustrative purposes only and are not intended to portray recommendations. For more info see [ibkr.com/info](https://ibkr.com/info)

06-IB17-1112